## Basic statistical language modeling

CS 780/880 Natural Language Processing Lecture 7
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## Last lecture

Key idea: Dimension reduction

## Concepts

- Dimensionality of data
- Variance of data
- Principle components
- Matrix factorization
- SVD and PCA
- Application to clustering


## Toolkits

- Scikit-learn for SVD


## Probability review

## Random variables

$A$ random variable $X$ can take different values depending on chance

## Notation:

- $p(X=x)$ is the probability that r.v. $X$ takes value $x$
- $p(x)$ is shorthand for the same
- $p(X)$ is the distribution over values $X$ can take (a function)

Example: flipping a coin; $\mathrm{P}(\mathrm{X}=$ heads $)=\mathrm{P}(\mathrm{X}=$ tails $)=0.5$

## Discrete distributions

A discrete distribution enumerates the values a random variable can take and how likely each one is

## Examples:

$p($ flipping a coin $)=[0.5,0.5]$
p (rolling a die) $=[.167, .167, .167, .167, .167, .167]$
$p($ flipping a rigged coin $)=[0.25,0.75]$
$p($ rolling a weighted die $)=[0.1,0.1,0.1,0.1,0.1,0.5]$

How does entropy relate to the values in the discrete distribution?

## Joint probability and product rule

The joint probability of two random variables $X$ and $Y$ describes the total chance they take on a particular pair of values: $p(X=x, Y=y)$

If $X$ and $Y$ are independent, then $p(X=x, Y=y)=p(X=x)^{*} p(Y=y)$
Example: two coin flips X and $\mathrm{Y} . \mathrm{p}(\mathrm{X}=$ heads, $\mathrm{Y}=$ heads $)=0.5^{*} 0.5=0.25$

## Conditional probability

If $X$ and $Y$ are dependent, then you have to think of the probability of $X$ given $Y$ : $p(X=x \mid Y=y)$

In this case, the joint probability of $X$ and $Y$ is $p(Y=y)^{*} p(X=x \mid Y=y)$

Example: Weather is $50 \%$ sunny and $50 \%$ cloudy; I am $25 \%$ likely to run when sunny and $10 \%$ likely when rainy.
$\mathrm{P}($ run $\mid$ sunny $)=.25$
$P($ run, sunny $)=0.5$ * $0.25=\mathbf{0 . 1 2 5}$
$P($ run $)=P($ run, sunny $)+P($ run, cloudy $)=0.5^{*} 0.25+0.5^{*} 0.1=\mathbf{0 . 1 7 5}$

How does mutual information relate to dependence versus independence?

## Chain rule

If we generalize to $N$ joint random variables, we end up with the chain rule

$$
\begin{aligned}
P\left(X_{1}, X_{2}, \ldots, X_{n}\right) & =P\left(X_{1}\right) P\left(X_{2} \mid X_{1}\right) P\left(X_{3} \mid X_{2}, X_{1}\right) \ldots P\left(X_{n} \mid X_{1}, \ldots X_{n-1}\right) \\
& =P\left(X_{1}\right) \prod_{i=2}^{n} P\left(X_{i} \mid X_{1} \ldots X_{i-1}\right)
\end{aligned}
$$

## Conditional probability table

With two variables X and Y , we can summarize their joint distribution with a conditional probability table

Each cell is $\mathrm{P}(\mathrm{X}=$ column $\mid \mathrm{y}=$ row $)$

Example:


## Maximum-likelihood probabilistic modeling

Whenever we build a probabilistic model of some phenomenon, we are deciding to fit it within some probabilistic form, and then finding the most likely parameters of our model to fit the data.

## Example:

- Model: the weather is sunny with probability p, and cloudy with probability 1-p
- Data: 10 days; [sunny, cloudy, sunny, sunny, cloudy, sunny, sunny, cloudy, sunny, sunny]
- MLE estimate of $p$ :


## Maximum-likelihood probabilistic modeling

Whenever we build a probabilistic model of some phenomenon, we are deciding to fit it within some probabilistic form, and then finding the most likely parameters of our model to fit the data.

## Example:

- Model: the weather is sunny with probability p, and cloudy with probability 1-p
- Data: 10 days; [sunny, cloudy, sunny, sunny, cloudy, sunny, sunny, cloudy, sunny, sunny]
- MLE estimate of $p: 0.7$


## How did we do that?

## Probabilistic NLP terminology

Statistical model (i.e. generative story): A hypothesis about how the data was generated

- E.g. "Every day is either sunny or rainy based on probability $p$, independent of previous day"
- Always an abstraction!

Parameters: the specific numbers associated with the model

- E.g. the specific $p$ we choose for sunny vs cloudy
- Often denoted as $\theta$

Observations: the real-world data we use to determine the parameters of the model

- E.g. we saw 7 sunny says and 3 cloudy days, so we think $p$ is 0.7


## Probabilistic NLP terminology

Likelihood: The probability of a given outcome or outcomes given a parameterized model

- E.g. if we think $p$ is 0.7 , then what is P (weather=cloudy)
- E.g. if we think $p$ is 0.7 , what is P (weather1=cloudy, weather2=sunny, weather3=sunny...)

Maximum likelihood estimation: Modeling paradigm where we choose $\theta$ to maximize likelihood of observed data

- So if we see a particular sequence of 7 sunny days and 3 cloudy days...
- Likelihood if $p=0.7$ is: $0.7^{7 *} 0.3^{3}=0.0022$
- Likelihood if $p=0.5$ is: $0.5^{10}=0.0010$


## MLE with conditional probability

## Model:

- the weather is sunny with probability $p$ and cloudy with probability 1-p
- I run with probability $r_{s}$ when it is sunny and probability $r_{c}$ when it is cloudy

Data: 10 runs

| sunny | cloudy | cloudy | sunny | cloudy | sunny | cloudy | sunny | sunny | cloudy |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| run | no run | no run | no run | no run | no run | no run | run | no run | run |

Counts:

|  | Run | Don't <br> run |
| :--- | :--- | :--- |
| Sunny | 2 | 4 |
| Cloudy | 1 | 3 |

$$
\begin{aligned}
& \mathrm{p}= \\
& r_{\mathrm{s}}= \\
& \mathrm{r}_{\mathrm{c}}=
\end{aligned}
$$

## MLE with conditional probability

## Model:

- the weather is sunny with probability $p$ and cloudy with probability 1-p
- I run with probability $r_{s}$ when it is sunny and probability $r_{c}$ when it is cloudy

Data: 10 runs

| sunny | cloudy | cloudy | sunny | cloudy | sunny | cloudy | sunny | sunny | cloudy |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| run | no run | no run | no run | no run | no run | no run | run | no run | run |

Counts:

|  | Run | Don't <br> run |
| :--- | :--- | :--- |
| Sunny | 2 | 4 |
| Cloudy | 1 | 3 |

$$
\begin{aligned}
& p=2+4 /(2+4+1+3)=6 / 10=.6 \\
& r_{s}=2 /(2+4)=2 / 6=0.33 \\
& r_{c}=1 /(1+3)=1 / 4=0.25
\end{aligned}
$$

## Probabilistic language modeling

## Unigram model

Basic idea: probability of a given word $w$ depends only on its overall frequency within the corpus

- So the probability of a given text is the product of the individual word probabilities

$$
P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)}\right)
$$

Similar to bag-of-words in that it doesn't respect word order, but bag-of-words isn't explicitly probabilistic

## Bigram model

Basic idea: the probability of word i depends only on word i-1
Example: "I am" is more likely than "I is"

$$
P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)} \mid w^{(i-1)}\right)
$$

## What can we do with a language model?

## Two main things:

1. Generate new text
2. Assess the likelihood of existing text

## The corpus

1 review_0 = "The film was a delight--I was riveted."
2 review_1 = "It's the most delightful and riveting movie."
3 review_2 = "It was a terrible flick, the worst I have ever seen."
4 review_3 = "I have a feeling the film was recut poorly."
5
6 reviews $=[$ review_0, review_1, review_2, review_3]

## Preprocessing

```
1 \text { raw_token_seqs = [word_tokenize(review.lower()) for review in reviews]}
2 raw_token_seqs
[['the', 'film', 'was', 'a', 'delight', '--', 'i', 'was', 'riveted', '.'],
['it', "'s", 'the', 'most', 'delightful', 'and', 'riveting', 'movie', '.'],
['it',
    'was',
    'a',
    'terrible',
    'flick',
    ',',
    'the',',
    'i',
    'have',
    'ever',
    'seen',
    '.'],
['i',''have', 'a', 'feeling', 'the', 'film', 'was', 'recut', 'poorly', '.']]
```


## Preprocessing

```
1 # For convenience, we are going to add an imaginary [START] and [END] token at the
# beginning and end of each sequence. You'll see why in a little bit.
3
4 token_seqs = [['[START]'] + seq + ['[END]'] for seq in raw_token_seqs]
5 token_seqs
[['[START]',
    'the',
    'film',
    was',
'a',
'delight',
'i',
'was',
'riveted',
'.',
'[END]']
|'rstadt1'
```


## Counting

```
token_counts = {}
for token_seq in token_seqs:
    for i in range(len(token_seq)):
        prev_token = token_seq[i]
        if prev_token not in token_counts:
            token_counts[prev_token] = {}
        if i < len(token_seq)-1: #if there is a next token, add it to the counts dict
            next_token = token_seq[i+1]
            if next_token not in token_counts[prev_token]:
            token_counts[prev_token][next_token] = 1
        else
            token_counts[prev_token][next_token] += 1
token counts
```

```
{'[START]': {'the': 1, 'it': 2, 'i': 1},
    'the': {'film': 2, 'most': 1, 'worst': 1},
    film': {'was': 2},
    was': {'a': 2, 'riveted': 1, 'recut': 1},
    'a': {'delight': 1, 'terrible': 1, 'feeling': 1},
    delight': {'--': 1},
--': {'i': 1},
'i': {'was': 1, 'have': 2},
riveted': {'.': 1},
.': {'[END]': 4},
[END]': {},
'it': {"'s": 1, 'was': 1},
"'s": {'the': 1},
'most': {'delightful': 1},
delightful': {'and': 1},
and': {'riveting': 1},
riveting': {'movie': 1},
'movie': {'.': 1},
terrible': {'flick': 1},
flick': {',': 1},
,': {'the': 1},
worst': {'i': 1},
have': {'ever': 1, 'a': 1},
'ever': {'seen': 1},
seen': {'.': 1},
feeling': {'the': 1},
recut': {'poorly': 1},
poorly': {'.': 1}}
```


## Counting

1 count_df = pd.DataFrame.from_records(data=counts, columns=vocabulary).fillna(0.0)
2 count_df.index = vocabulary
3 count_df


## Counts to probabilities

|  | [START] | the | film | was | a | delight | -- | i | riveted | - | ... | terrible | flick | , | worst | have | ever | seen | feeling | recut | poorly |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| [START] | 0.0 | 0.25 | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.25 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| the | 0.0 | 0.00 | 0.5 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.25 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| film | 0.0 | 0.00 | 0.0 | 1.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| was | 0.0 | 0.00 | 0.0 | 0.00 | 0.5 | 0.00 | 0.0 | 0.00 | 0.25 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.25 | 0.0 |
| a | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.33 | 0.0 | 0.00 | 0.00 | 0.0 | ... | 0.33 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.33 | 0.00 | 0.0 |
| delight | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 1.0 | 0.00 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| -- | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 1.00 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| i | 0.0 | 0.00 | 0.0 | 0.33 | 0.0 | 0.00 | 0.0 | 0.00 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.67 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| riveted | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.00 | 1.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| . | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |
| [END] | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.0 | 0.00 | 0.00 | 0.0 | ... | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 | 0.0 | 0.00 | 0.00 | 0.0 |

## Generating text

Once we have a MLE estimate model, we can generate text by just sampling from our model one word at a time

We can randomly sample, or take the most probable word at each step

We can stop after N tokens, or when we hit some stopping condition (like a [STOP] token, or a ".")

## Generating text

```
] }1\mathrm{ random.seed(123)
    2 prev_token = '[START]' # By defining this special token, we gave ourselves a
    # place to start when we want to generate text
    4 count = 0
    5 while count < 20 and prev_token != '[END]':
    6 probs = cpt_df.loc[prev_token] #remember we want to get the row, not the column
    next_token = choice(vocabulary, p=probs)
    print(next_token)
    prev_token = next token
10 count += 1
i
have
a
delight
i
was
a
feeling
the
most
delightful
and
riveting
movie
[END]
```


## Generating text

```
1 # We can also just pick the maximum-likelihood next token at every step
    prev token = '[START]'
    count = 0
    4 while count < 20 and prev_token != '[END]':
    probs = cpt_df.loc[prev_token]
    next_token = vocabulary[np.argmax(probs)]
    print(next_token)
    prev token = next_token
    count += 1
it
was
delight
i
have
delight
i
have
delight
i
have
delight
```


## Assessing text probability

Given our model, we can calculate the likelihood that a given text was produced by the model.

Example: Bigram model

$$
P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)} \mid w^{(i-1)}\right)
$$

$p$ ("this is a sentence .") $=p($ this|[START])p(is|this)p(a|is)p(sentence|a)p(.|sentence)

## One token at a time

```
1 review_0 = "The film was a delight--I was riveted."
2 review_1 = "It's the most delightful and riveting movie."
3 review_2 = "It was a terrible flick, the worst I have ever seen."
4 review_3 = "I have a feeling the film was recut poorly."
5
6 \text { reviews = [review_0, review_1, review_2, review_3]}
```

1 \# If we know the previous token, we can calculate the probability of any given next token
2 previous_token = previous_tokens[-1]
3 print(f'Previous tokens: \{previous_tokens\}')

4 for next_token in possible_next_tokens:
5 print(f'\tPossible next token: "\{next_token\}"; \tProbability: \{cpt_df.loc[previous_token, next_token]\}")
Previous tokens: ['it', 'was', 'not', 'the', 'most', 'riveting']
Possible next token: "movie"; Probability: 1.0
Possible next token: "film"; Probability: 0.0
Possible next token: "delight"; Probability: 0.0
Possible next token: "."; Probability: 0.0
Possible next token: "[END]"; Probability: 0.0

## Over a whole sequence

```
1 # By applying the chain rule, we can calculate the probability of a whole sequence
2
3 # This is one of the sequences from the corpus
4 sequence = ['[START]', 'it', "'s", 'the', 'most', 'delightful', 'and', 'riveting', 'movie', '.', '[END]']
5
6 def sequence_likelihood(seq:list, cpt:pd.DataFrame):
    cumulative_likelihood = 1.0
    for i in range(len(seq)-1):
        prev_token = seq[i]
        next_token = seq[i+1]
        prob = cpt.loc[prev_token, next_token]
        cumulative_likelihood = cumulative_likelihood*prob
    return cumulative_likelihood
1 5 \text { print(f'Cumulative likelihood of entire sequence: \{sequence_likelihood(sequence, cpt_df):.3f\}')}
```

Cumulative probability of entire sequence: 0.062

## Over a whole sequence

Calculating cumulative log-likelihood is generally preferred to raw likelihood

- Why?

1 \# This is one of the sequences from the corpus
2 sequence $=$ ['[START]', 'it', "'s", 'the', 'most', 'delightful', 'and', 'riveting', 'movie', '.', '[END]']

```
1 def sequence_log_likelihood(seq:list, cpt:pd.DataFrame):
    cumulative_log_likelihood = 0.0
    for i in range(len(seq)-1):
        prev_token = seq[i]
        next_token = seq[i+1]
        prob = cpt.loc[prev_token, next_token]
        cumulative_log_likelihood = cumulative_log_likelihood + np.log(prob)
    return cumulative_log_likelihood
9
10 print(f'Cumulative log-likelihood of entire sequence: {sequence_log_likelihood(sequence, cpt_df):.3f}')
```

Cumulative log-probability of entire sequence: -2.773

## Perplexity

One way to assess the quality of a probabilistic language model is to calculate the average likelihood of the training data under that model.

This measure, called "perplexity", captures the intuition that a good language model of a corpus is one that would have been very likely to generate that corpus

```
1 normalized_sequence_likelihoods = [-sequence_log_likelihood(seq, cpt_df)/len(seq) for seq in token_seqs]
2 print(f'Length-normalized sequence negative log-likelihoods: {normalized_sequence_likelihoods}')
3 perplexity = np.mean(normalized_sequence_likelihoods)
4 print(f'Perplexity: {perplexity:.3f}')
Perplexity: 0.408
```

Can only really be compared to itself for a given corpus

## Smoothing

Any model bigger than a unigram model suffers from sparsity issues that make certain sequences impossible, and screws with all the math

Example: "was delightful" is an impossible bigram in our toy corpus because those two words never happen to occur together, even though they very much could.

```
1 review_0 = "The film was a delight--I was riveted."
2 review_1 = "It's the most delightful and riveting movie.
3 review_2 = "It was a terrible flick, the worst I have ever seen."
4 review_3 = "I have a feeling the film was recut poorly."
6 \text { reviews = [review_0, review_1, review_2, review_3]}
```

Solution: add some smoothing to the model which makes any bigram possible (if not likely)

## Smoothing

```
1 # Big problem:
2 # We know this was an actual sequence:
3 real_sequence = ['[START]', 'it', "'s", 'the', 'most', 'delightful', 'and', 'riveting', 'movie', '.', '[END]']
4 # Our model rates this sequence as possible:
5 print(f'Cumulative likelihood of real sequence: {sequence_likelihood(real_sequence, cpt_df):.3f}')
```

Cumulative likelihood of real sequence: 0.062
] 1 \# But what about this (very similar) sentence where "riveting" and "delightful" have been switched: 2 possible_sequence = ['[START]', 'it', "'s", 'the', 'most', 'riveting', 'and', 'delightful', 'movie', '.', '[END]'] 3 \# Our model rates this sequence as impossible!
4 print(f'Cumulative likelihood of possible sequence: \{sequence_likelihood(possible_sequence, cpt_df):.3f\}')
Cumulative likelihood of possible sequence: 0.000

## Smoothing

```
1 smoothed_count_df = count_df+1
2 smoothed_count_df
```



## Smoothing

```
1 # Now any sequence has at least a chance of being possible:
2 print(f'Smoothed likelihood of possible sequence: {sequence_likelihood(possible_sequence, smoothed_cpt_df):.20f}')
Smoothed likelihood of possible sequence: 0.00000000000041044225
1 # And calculating the log-likelihoods isn't problematic
2
3 print(f'Smoothed log-likelihood of possible sequence: {sequence_log_likelihood(possible_sequence, smoothed_cpt_df):.3f}')
Smoothed log-likelihood of possible sequence: -28.522
```

```
1 # But the real sequences are still much more likely
2 # (though much less so than in the unsmoothed model)
3 print(f'Smoothed likelihood of real sequence: {sequence_likelihood(real_sequence, smoothed_cpt_df):.20f}')
Smoothed likelihood of real sequence: 0.00000000000656707607
    1 # So the relative value is easier to compare when we look at the log-likelihoods
2
3 print(f'Smoothed log-likelihood of real sequence: {sequence_log_likelihood(real_sequence, smoothed_cpt_df):.3f}')
Smoothed log-likelihood of real sequence: -25.749
```


## N -gram models

Unigram model: $P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)}\right)$
Bigram model: $\quad P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)} \mid w^{(i-1)}\right)$
Trigram model: $P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)} \mid w^{(i-1)}, w^{(i-2)}\right)$
...and so on. But what's the problem? What stops us from conditioning on every previous token?

## N-gram models

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Bigram model: $\quad P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)} \mid w^{(i-1)}\right)$
Trigram model: $P\left(w^{(1)} \ldots w^{(N)}\right)=\prod_{i=1}^{N} P\left(w^{(i)} \mid w^{(i-1)}, w^{(i-2)}\right)$
...and so on. But what's the problem? What stops us from conditioning on every previous token?

- data sparsity
- \# of parameters


## Concluding thoughts

Probabilistic modeling of text: count word occurrences and normalize to conditional probability distributions

## Differing context sizes

- Unigrams: words occur independently
- Bigrams: words depend only on previous word
- Trigrams: words depend on previous two words
- etc.

Two important tasks

- Generate new text
- Assess text likelihood under model

Discussion question: how to do classification with these abilities?

